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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete If Known

Application Number	10/659,992
Filing Date	9/10/2003
First Named Inventor	A. Paul Alivisatos
Art Unit	
Examiner Name	
Attorney Docket Number	IB-1830

Sheet 1 of 9

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<input checked="" type="checkbox"/>	1	4,625,071	11/25/1986	Delahoy, et al.	
<input checked="" type="checkbox"/>	2	5,331,183	07/19/1994	Sariciftci, et al.	
<input checked="" type="checkbox"/>	3	5,350,459	09/27/1994	Suzuki, et al.	
<input checked="" type="checkbox"/>	4	5,350,644	09/27/1994	Graetzel, et al.	
<input checked="" type="checkbox"/>	5	5,454,880	10/03/1995	Sariciftci, et al.	
<input checked="" type="checkbox"/>	6	5,504,323	04/02/1996	Heeger, et al.	
<input checked="" type="checkbox"/>	7	5,523,555	06/04/1996	Friend, et al.	
<input checked="" type="checkbox"/>	8	5,525,440	06/11/1996	Kay, et al.	
<input checked="" type="checkbox"/>	9	5,670,791	09/23/1997	Friend, et al.	
<input checked="" type="checkbox"/>	10	5,698,048	12/16/1997	Halls, et al.	
<input checked="" type="checkbox"/>	11	5,804,836	09/08/1998	Heeger, et al.	
<input checked="" type="checkbox"/>	12	6,013,871	01/11/2000	Curtin	
<input checked="" type="checkbox"/>	13	5,262,357	11/16/1993	Alivisatos, et al.	
<input checked="" type="checkbox"/>	14	5,505,928	04/09/1996	Alivisatos, et al.	
<input checked="" type="checkbox"/>	15	5,537,000	07/16/1996	Alivisatos, et al.	
<input checked="" type="checkbox"/>	16	5,751,018	05/12/1998	Alivisatos, et al.	
<input checked="" type="checkbox"/>	17	5,990,479	11/23/1999	Weiss, et al.	
<input checked="" type="checkbox"/>	18	6,207,392 B1	03/21/2001	Weiss, et al.	
<input checked="" type="checkbox"/>	19	6,225,198 B1	05/01/2001	Alivisatos, et al.	
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<input checked="" type="checkbox"/>	21	6,423,551 B1	07/23/2002	Weiss, et al.	
<input checked="" type="checkbox"/>	22	6,440,213 B1	08/27/2002	Alivisatos, et al.	
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<input checked="" type="checkbox"/>	26	2002/0016306 A1	02/07/2002	Hutchison, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	⁶
<input checked="" type="checkbox"/>	A	WO 99/25028 A1	06/20/1999	PCT		

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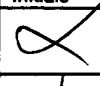
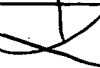
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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	27	SCHLAMP, et al., <i>Journal of Applied Physics</i> , Volume 82, No. 11, pp. 5837- 5842, (December 1997)	
	28	SIRRINGHAUS, et al., <i>Science</i> , Volume 280, pp. 1741-1744, (June 1998)	
	29	PENG, et al., <i>Journal of the American Chemical Society</i> , Volume 123, pp. 183-184, (December 2001)	
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	33	ARANGO, et al., <i>Advanced Materials</i> , Volume 12, Number 22, pp. 1689-1692, (November 2000)	
	34	ALIVISATOS, <i>Science</i> , Volume 271, pp. 933-936, (February 1996)	
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	36	SCHMIDT-MENDE et al., <i>Reports</i> , (June 2001)	
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	38	HALLS, et al., <i>Nature</i> , Volume 376, p. 498-500, (August 1995)	
	39	SCHON, et al., <i>Nature</i> , Volume 403, pp. 408-410, (January 2000)	

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

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	40	ROMAN, et al., <i>Advanced Materials</i> , Volume 9, Number 15, pp. 1164-1168, (January 1997)	
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	51	HALLS, et al., <i>Applied Physics Letters</i> , Volume 68, Number 22, pp. 3120-3122, (May 1996)	
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X	53	YOSHINO, et al., <i>IEEE Transactions on Electron Devices</i> , Volume 44, Number 8, (August 1997)	
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X	65	PENG, "High Quality Inorganic Semiconductor Nanocrystals for New Solar Cells", pp. 1-8	

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	66	ZABAN et al., <i>Langmuir</i> , Volume 14, pp. 3153-3156, (May 1998)	
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	79	DITTMER, et al., <i>Solar Energy Materials & Solar Cells</i> , Volume 61, pp. 53-61, (January 2000)	
	80	KRASNIKOV, et al., <i>Department of Polymer Chemistry, Materials Science Centre, University of Groningen: Infosheet 'Interpenetrating Morphologies for Photovoltaic Devices'</i>	
	81	HALLS, et al., <i>Synthetic Metals</i> , Volume 85, pp. 1307-1308, (January 1997)	
	82	ROMAN, et al., <i>Advanced Materials</i> , "Trapping Light in Polymer Photodiodes with Soft Embossed Gratings" (January 2000)	
	83	YU, et al., <i>Science</i> , Volume 270, pp. 1789-1791, (December 1995)	
	84	HUYNH, "Nanocrystal-Polymer Solar Cells", (May 2002) Dissertation U.C. Berkeley Chemistry Department	
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	91	CHEMSEDDINE, et al., <i>Eur. J. Inorg. Chem.</i> , pp. 235-245, (January 1999)	

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	92	CHEN, et al., <i>Chem. Mater.</i> , Volume 12, pp. 1516-1518, (May 2000)	
	93	CHO, <i>Journal of Crystal Growth</i> , 201-202, pp. 1-7, (January 1999)	
	94	CUI, et al., <i>Science</i> , Volume 293, pp. 1289-1292, (August 2001)	
	95	DAI, et al., <i>Chemical Physics Letters</i> , Volume 358, pp. 83-86, (May 2002)	
	96	HOLMES, et al., <i>Science</i> , Volume 287, pp. 1471-1473, (February 2000)	
	97	HU, et al., <i>Accounts of Chemical Research</i> , Volume 32, Number 5, pp. 435-445, (February 1999)	
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	100	ITO, <i>Japanese Journal of Applied Physics</i> , Volume 37, Part 2, Number 10B, pp. L1217-L1220, (September 1998)	
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	103	LEON, et al., <i>Science</i> , Volume 267, pp. 1966-1968, (March 1995)	
	104	LI, et al., <i>Nature</i> , Volume 402, pp. 393-395, (November 1999)	

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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	105	LIEBER, <i>Solid State Communications</i> , Volume 107, Number 11, pp. 607-616, (November 1998)	
	106	LIU, et al., <i>Physical Review Letters</i> , Volume 84, Number 9, pp. 1958-1961, (February 2000)	
	107	MEWS, et al., <i>Physical Review B</i> , Volume 53, Number 20, pp. R13 242-R13 245, (May 1996)	
	108	MURRAY, et al., <i>Journal of the American Chemical Society</i> , pp. 8706-8715, (January 1993)	
	109	NI, et al., <i>Chem. Mater.</i> , Volume 14, pp. 1048-1052, (February 2002)	
	110	PARK, et al., <i>Physical Review B</i> , Volume 49, Number 7, pp. 4485-4493, (February 1994)	
	111	PARK, et al., <i>Journal of the American Chemical Society</i> , Volume 122, , pp. 8581-8582, (August 2000)	
	112	PAUL, <i>Advanced Materials</i> , Volume 11, Number 3, pp. 191-204, (January 1999)	
	113	PENG, et al., <i>Journal of the American Chemical Society</i> , Volume 120, pp. 5343-5344, (May 1998)	
	114	PENG, et al., <i>Journal of the American Chemical Society</i> , Volume 124, pp. 3343-3353, (January 2002)	
	115	PENG, et al., <i>Nature</i> , Volume 404, pp. 59-61, (March 2000)	
	116	PENN, et al., <i>Science</i> , Volume 281, pp. 969-971, (August 1998)	
	117	QI, et al., <i>Journal of Physical Chemistry B</i> , Volume 101, pp. 3460-3463, (April 1997)	
	118	REES, et al., <i>Liquid</i> , Volume 15, pp. 1993-2002, (February 1999)	

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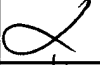

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Sheet 9 of 9

Complete if Known

Application Number	10/659,992
Filing Date	9/10/2003
First Named Inventor	A. Paul Alivisatos
Art Unit	
Examiner Name	
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	119	SATOH, et al., <i>Japanese Journal of Applied Physics</i> , Volume 38, Part 2, Number 5B, pp. L586-L589, (May 1999)	
	120	SHEVCHENKO, et al., <i>Advanced Materials</i> , Volume 14, Number 4, pp. 287-290, (February 2002)	
	121	SMALLEY, et al., <i>Solid State Communications</i> , Volume 107, Number 11, pp.597-606, (January 1998)	
	122	TANORI, et al., <i>Langmuir</i> , Volume 13, pp. 639-646, (January 1997)	
	123	TRENTLER, et al., <i>Science</i> , Volume 270, pp. 1791-1794, (December 1995)	
	124	WANG, et al., <i>Science</i> , Volume 293, pp. 1455-1457, (August 2001)	
	125	YEH, et al., <i>Physical Review B</i> , Volume 45, Number 20, pp. 12 130 - 12 133, (May 1992)	
	126	YEH, et al., <i>Physical Review B</i> , Volume 46, Number 16, pp. 10 086-10 097, (October 1992)	
	127	YU, et al., <i>The Journal of Physical Chemistry B</i> , Volume 101, Number 34, pp. 6661-6664, (August 1997)	
	128	ZHOU, et al., <i>Materials Research Bulletin</i> , Volume 34, Numbers 10/11, pp. 1563-1567, (January 1999)	
	129	ZHOU, et al., <i>Journal of Applied Polymer Science</i> , Volume 80, pp. 1520-1525, (January 2001)	
	130	CERULLO, et al, In: <i>Summaries of Papers at the Quantum and Laser Science Conference, QELS '96</i> . New York: IEEE, pp. 167-168, 2-7 June 1996	
			

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